

# Equivariant K-theory, wreath products, and Heisenberg algebra

Weiqiang Wang\*

## Abstract

Given a finite group  $G$  and a  $G$ -space  $X$ , we show that a direct sum  $\mathcal{F}_G(X) = \bigoplus_{n \geq 0} K_{G_n}(X^n) \otimes \mathbb{C}$  admits a natural graded Hopf algebra and  $\lambda$ -ring structure, where  $G_n$  denotes the wreath product  $G \sim S_n$ .  $\mathcal{F}_G(X)$  is shown to be isomorphic to a certain supersymmetric product in terms of  $K_G(X) \otimes \mathbb{C}$  as a graded algebra. We further prove that  $\mathcal{F}_G(X)$  is isomorphic to the Fock space of an infinite dimensional Heisenberg (super)algebra. As one of several applications, we compute the orbifold Euler characteristic  $e(X^n, G_n)$ .

## 0 Introduction

Given a finite group  $G$  and a locally compact, Hausdorff, paracompact  $G$ -space  $X$ , the  $n$ -th direct product  $X^n$  admits a natural action of the wreath product  $G_n = G \sim S_n$  which is a semi-direct product of the  $n$ -th direct product  $G^n$  of  $G$  and the symmetric group  $S_n$ . The main goal of the present paper is to study the equivariant topological K-theory  $K_{G_n}(X^n)$ , for all  $n$  together, and discuss several applications which are of independent interest.

We will first show that a direct sum

$$\mathcal{F}_G(X) = \bigoplus_{n \geq 0} K_{G_n}(X^n) \otimes \mathbb{C}$$

carries several wonderful structures. More explicitly, we show that  $\mathcal{F}_G(X)$  admits a natural Hopf algebra structure with a certain induction functor as multiplication and a certain restriction functor as comultiplication (cf. Theorem 2). When  $X$  is a point,  $K_{G_n}(X^n)$  is the Grothendieck ring  $R(G_n)$ , and we recover the standard Hopf algebra structure of  $\bigoplus_{n \geq 0} R(G_n)$  (cf. e.g. [M1, M2, Z]). A key lemma used here is a straightforward generalization to equivariant K-theory of a statement in the representation theory of finite groups concerning the restriction of an induced representation to a subgroup.

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\*1991 *Mathematics Subject Classification*. Primary 19L47, 17B65.

We show that  $\mathcal{F}_G(X)$  is a free  $\lambda$ -ring generated by  $K_G(X) \otimes \mathbb{C}$  (cf. Proposition 3). We write down explicitly the Adams operations  $\varphi^n$ 's in  $\mathcal{F}_G(X)$ . Incidentally we also obtain an equivalent way of defining the Adams operations in  $K_G(X) \otimes \mathbb{C}$  (not over  $\mathbb{Z}$ ) by means of the wreath products, generalizing a definition by Atiyah [A] in terms of the symmetric group in the ordinary (i.e. non-equivariant) K-theory setting. When  $X$  is a point we recover the  $\lambda$ -ring structure of  $\bigoplus_{n \geq 0} R(G_n)$  (cf. [M1]).

As a graded algebra  $\mathcal{F}_G(X)$  has a simple description as a certain supersymmetric algebra in terms of  $K_G(X) \otimes \mathbb{C}$  (cf. Theorem 3). The proof uses a theorem in [AS] and the structures of the centralizer group of an element in  $G_n$  and of the fixed point set of the action of  $a \in G_n$  on  $X^n$  which we work out in Sect. 1. In particular, this description indicates that  $\mathcal{F}_G(X)$  has the size of a Fock space of a certain infinite-dimensional Heisenberg superalgebra which we will construct in terms of natural additive maps in K-theory (cf. Theorem 4).

Our results above generalize Segal's work [S2], and our proofs are direct generalizations of those in [S2] (also see [Z, M1]). What Segal studied in [S2], partly motivated by remarks in Grojnowski [Gr], is the space  $\bigoplus_{n \geq 0} K_{S_n}(X^n) \otimes \mathbb{C}$  for compact  $X$  which corresponds to our special case when  $G$  is trivial and then  $G_n$  is the symmetric group  $S_n$ . The paper [Gr] was in turn motivated by a physical paper of Vafa and Witten [VW]. Our present work grew out of an attempt to understand Segal's outlines [S2] and was also stimulated by Nakajima's lecture notes on Hilbert schemes [N] (also cf. [N1, Gr]). Our first main observation in this paper is that there is a natural way to add the group  $G$  into Segal's scheme and this allows several different applications as discussed below. These applications are of independent interest on their own. We expect that there is also a natural way to incorporate  $G$  into the remaining part of [S2].

Our addition of  $G$  has already highly non-trivial consequences even in the case when  $X$  is a point. By tensoring  $\mathcal{F}_G(pt)$  with the group algebra of the Grothendieck ring  $R(G)$ , we obtain the underlying vector space for the vertex algebra associated to the lattice  $R(G)$  [B, FLM]. When  $G$  is a finite subgroup of  $SL_2(\mathbb{C})$ , this will lead to a group theoretic construction of the Frenkel-Kac-Segal vertex representation of an affine Kac-Moody Lie algebra, which can be viewed as a new form of McKay correspondence [Mc]. Detail along these lines will be developed in a forthcoming paper.

An interesting case of our study is that  $X$  is the complex plane  $\mathbb{C}^2$  acted upon by a finite subgroup  $G$  of  $SL_2(\mathbb{C})$ . Let  $\widetilde{\mathbb{C}^2/G}$  denote the minimal resolution of singularities of  $\mathbb{C}^2/G$  (cf. e.g. [N]). Via the McKay correspondence [Mc], we show that either  $K_{G_n}((\mathbb{C}^2)^n) \otimes \mathbb{C}$  or  $K_{S_n}((\widetilde{\mathbb{C}^2/G})^n) \otimes \mathbb{C}$  has the same dimension as the homology group of the Hilbert scheme of  $n$  points on  $\widetilde{\mathbb{C}^2/G}$  (cf. [G]). This fact has a straightforward generalization, cf. Remark 6. Our message here is that the wreath product plays an important role in the study of the Hilbert scheme of  $n$  points on  $\widetilde{\mathbb{C}^2/G}$  in exactly the way a symmetric group  $S_n$  does for the Hilbert

scheme of  $n$  points on  $\mathbb{C}^2$  (cf. [N, BG]), which is in turn a special case of the former when  $G$  is trivial. We will discuss these in more detail in another occasion.

For a smooth manifold  $X$  acted upon by  $G$ , Dixon, Harvey, Vafa and Witten [DHVW] introduced a notion of orbifold Euler characteristic  $e(X, G)$  in their study of string theory of orbifolds. We show that the orbifold Euler characteristic  $e(X^n, G_n)$  is uniquely determined by  $e(X, G)$  and  $n$ . In terms of a generating function, our formula reads (see Theorem 5):

$$\sum_{n \geq 1} e(X^n, G_n) q^n = \prod_{r=1}^{\infty} (1 - q^r)^{-e(X, G)}. \quad (1)$$

By putting  $G = 1$  and thus  $e(X, G) = e(X)$ , we recover a formula of Hirzebruch-Höfer [HH]. By using Eq. (1) and Göttsche's formula [G], we show that  $X^n/G_n$  admits a resolution of singularities whose Euler characteristic coincides with the orbifold Euler characteristic  $e(X^n, G_n)$  assuming that  $X$  is a smooth quasi-projective surface and  $X/G$  has a resolution of singularities whose Euler characteristic is  $e(X, G)$ .

In this paper the language of equivariant K-theory is used. We should also mention the very relevant construction of Heisenberg superalgebra on a direct sum over  $n$  of the homology group  $H(X^{[n]})$  of Hilbert scheme of  $n$  points on a smooth quasi-projective surface  $X$ , due to Nakajima [N1] and Grojnowski [Gr] independently. However the constructions and computations in terms of K-theory are simpler and work for more general spaces. Bezrukavnikov and Ginzburg [BG] have proposed a way to obtain a direct isomorphism from  $K_{S_n}(X^n) \otimes \mathbb{C}$  to  $H(X^{[n]})$  for an algebraic surface  $X$ . Independently M. de Cataldo and L. Migliorini has recently established this isomorphism for complex surfaces [CM].

The plan of this paper is as follows. In Sect. 1 we give a presentation of the wreath product  $G_n$  and study its action on  $X^n$ . In Sect. 2 we construct a Hopf algebra structure on  $\mathcal{F}_G(X)$ . In Sect. 3 we give a description of  $\mathcal{F}_G(X)$  as a graded algebra. In Sect. 4 we give a  $\lambda$ -ring structure on  $\mathcal{F}_G(X)$ . In Sect. 5 we construct the Heisenberg superalgebra which acts on  $\mathcal{F}_G(X)$  irreducibly. In Sect. 6 we calculate the orbifold Euler characteristic  $e(X^n, G_n)$  and study in detail the special case when  $X$  is the complex plane acted upon by a finite subgroup of  $SL_2(\mathbb{C})$ . We have included some detail which are probably trivial to experts hoping that this may benefit readers with different backgrounds.

## 1 The wreath product and its action on $X^n$

Let  $G$  be a finite group. We denote by  $G_*$  the set of conjugacy classes of  $G$  and  $R(G)$  the Grothendieck ring of  $G$ .  $R(G) \otimes_{\mathbb{Z}} \mathbb{C}$  can be identified with the ring of class functions  $C(G)$  on  $G$  by taking the character of a representation. Denote by  $\zeta_c$  the order of the centralizer of an element lying in the conjugacy class  $c$  in  $G$ .

We define an inner product on  $C(G)$  as usual:

$$(\chi|\psi) = \frac{1}{|G|} \sum_{g \in G} \chi(g) \overline{\psi(g)}, \quad \chi, \psi \in C(G). \quad (2)$$

Let  $G^n = G \times \dots \times G$  be the direct product of  $n$  copies of  $G$ . Denote by  $|G|$  the order of  $G$ , and by  $[g]$  the conjugacy class of  $g \in G$ . The symmetric group  $S_n$  acts on  $G^n$  by permuting the  $n$  factors:  $s(g_1, \dots, g_n) = (g_{s^{-1}(1)}, \dots, g_{s^{-1}(n)})$ . The *wreath product*  $G_n = G \sim S_n$  is defined to be the semidirect product of  $G^n$  and  $S_n$ , namely the multiplication on  $G_n$  is given by  $(g, s)(h, t) = (g.s(h), st)$ , where  $g, h \in G^n, s, t \in S_n$ . Note that  $G^n$  is a normal subgroup of  $G_n$  by identifying  $g \in G^n$  with  $(g, 1) \in G_n$ .

Given  $a = (g, s) \in G_n$  where  $g = (g_1, \dots, g_n)$ , we write  $s \in S_n$  as a product of disjoint cycles: if  $z = (i_1, \dots, i_r)$  is one of them, the *cycle-product*  $g_{i_r} g_{i_{r-1}} \dots g_{i_1}$  of  $a$  corresponding to the cycle  $z$  is determined by  $g$  and  $z$  up to conjugacy. For each  $c \in G_*$  and each integer  $r \geq 1$ , let  $m_r(c)$  be the number of  $r$ -cycles in  $s$  whose cycle-product lies in  $c$ . Denote by  $\rho(c)$  the partition having  $m_r(c)$  parts equal to  $r$  ( $r \geq 1$ ) and denote by  $\rho = (\rho(c))_{c \in G_*}$  the corresponding partition-valued function on  $G_*$ . Note that  $||\rho|| := \sum_{c \in G_*} |\rho(c)| = \sum_{c \in G_*, r \geq 1} r m_r(c) = n$ , where  $|\rho(c)|$  is the size of the partition  $\rho(c)$ . Thus we have defined a map from  $G_n$  to  $\mathcal{P}_n(G_*)$ , the set of partition-valued function  $\rho = (\rho(c))_{c \in G_*}$  on  $G_*$  such that  $||\rho|| = n$ . The function  $\rho$  is called the *type* of  $a = (g, s) \in G_n$ . Denote  $\mathcal{P}(G_*) = \sum_{n \geq 0} \mathcal{P}_n(G_*)$ .

Given a partition  $\lambda$  with  $m_r$   $r$ -cycles ( $r \geq 1$ ), define  $z_\lambda = \prod_{r \geq 1} r^{m_r} m_r!$ . This is the order of the centralizer in  $S_n$  of an element of cycle-type  $\lambda$ . We shall denote by  $l(\lambda) = \sum_{r \geq 1} m_r$  the length of  $\lambda$ .

Given a partition-valued function  $\rho \in \mathcal{P}(G_*)$ , we define  $l(\rho) = \sum_{c \in G_*} l(\rho(c))$  and

$$Z_\rho = \prod_{c \in G_*} z_{\rho(c)} \zeta_c^{l(\rho(c))}.$$

Denote by  $\sigma_n(c)$  the class function of  $G_n$  which takes value  $n \zeta_c$  at an  $n$ -cycle whose cycle-product lies in  $c \in G_*$  and 0 otherwise. For  $\rho = \{m_r(c)\}_{c, r} \in \mathcal{P}(G_*)$ , we define

$$\sigma^\rho = \prod_{c \in G_*, r \geq 1} \sigma_r(c)^{m_r(c)}.$$

We regard  $\sigma^\rho$  as the class function on  $G_n$  which takes value  $Z_\rho$  at elements of type  $\rho$  (where  $n = ||\rho||$ ) and 0 elsewhere.

We formulate some well-known facts below (cf. e.g. [M2]) which will be needed later.

**Proposition 1** *Two elements in  $G_n$  are conjugate to each other if and only if they have the same type. The order of the centralizer in  $G_n$  of an element of type  $\rho$  is  $Z_\rho$ .*

We want to calculate the centralizer  $Z_{G_n}(a)$  of  $a \in G_n$ . First we consider the typical case that  $a$  has one  $n$ -cycle. As the centralizers of conjugate elements are conjugate subgroups, we may assume that  $a$  is of the form  $a = ((g, 1, \dots, 1), \tau)$  by Proposition 1, where  $\tau = (12 \dots n)$ . Denote by  $Z_G^\Delta(g)$ , or  $Z_G^{\Delta_n}(g)$  when it is necessary to specify  $n$ , the following diagonal subgroup of  $G^n$  (and thus a subgroup of  $G_n$ ):

$$Z_G^\Delta(g) = \{((h, \dots, h), 1) \in G^n \mid h \in Z_G(g)\}.$$

The following lemma follows from a direct computation.

**Lemma 1** *The centralizer  $Z_{G_n}(a)$  of  $a$  in  $G_n$  is equal to the product  $Z_G^\Delta(g) \cdot \langle a \rangle$ , where  $\langle a \rangle$  is the cyclic subgroup of  $G_n$  generated by  $a$ . Moreover,  $a^n \in Z_G^\Delta(g)$  and  $|Z_{G_n}(a)| = n|Z_G(g)|$ .*

Take a generic element  $a = (g, s) \in G_n$  of type  $\rho = (\rho(c))_{c \in G_*}$ , where  $\rho(c)$  has  $m_r(c)$   $r$ -cycles ( $r \geq 1$ ). By Proposition 1, we may assume (by taking a conjugation if necessary) that the  $m_r(c)$   $r$ -cycles are of the form

$$g_{ur}(c) = ((g, 1, \dots, 1), (i_{u1}, \dots, i_{ur})), 1 \leq u \leq m_r(c), g \in c.$$

Denote  $g_r(c) = ((g, 1, \dots, 1), (12 \dots r))$ . Throughout the paper,  $\Pi_{c,r}$  is understood as the product  $\prod_{c \in G_*, r \geq 1}$ .

**Lemma 2** *The centralizer  $Z_{G_n}(a)$  of  $a \in G_n$  is isomorphic to a direct product of the wreath products*

$$\prod_{c,r} \left( Z_{G_r}(g_r(c)) \sim S_{m_r(c)} \right). \quad (3)$$

Furthermore  $Z_{G_r}(g_r(c))$  is isomorphic to  $Z_G^{\Delta_r}(g) \cdot \langle g_r(c) \rangle$ .

*Proof.* It follows from the first part of Lemma 1 that the centralizer  $Z_{G_n}(a)$  should contain a certain subgroup naturally isomorphic to (3). By the second part of Lemma 1 we can count that the order of (3) is equal to  $Z_\rho$ . The lemma now follows by comparing with the order of  $Z_{G_n}(a)$  given in Proposition 1.  $\square$

We will use  $\star$  to denote the multiplication in  $C(G_n)$  which corresponds to the tensor product in  $R(G_n)$ . We denote by  $\underline{n}$  the trivial representation of  $G_n$ , and  $\underline{1}^n$  the sign representation of  $G_n$  in which  $G^n$  acts trivially and  $S_n$  acts by  $\pm 1$  depending on a permutation is even or odd. By abuse of notations, we also use the same symbols to denote the corresponding characters as well. The following lemma follows easily from the definitions.

**Lemma 3** *1) Given  $\rho, \tilde{\rho} \in \mathcal{P}_n(G_*)$ ,  $\sigma^\rho \star \sigma^{\tilde{\rho}} = \delta_{\rho, \tilde{\rho}} Z_\rho \sigma^\rho$ . In particular,*

$$\underline{n} = \sum_{\|\rho\|=n} Z_\rho^{-1} \sigma^\rho, \quad (4)$$

$$\underline{1}^n = \sum_{\|\rho\|=n} (-1)^{n-l(\rho)} Z_\rho^{-1} \sigma^\rho. \quad (5)$$

2)  $(\sigma^\rho | \widetilde{\sigma^\rho}) = \delta_{\rho, \widetilde{\rho}} Z_\rho$ . In other words,  $\sigma^\rho$  takes value  $Z_\rho$  at the elements in  $G_n$  of type  $\rho$  and 0 elsewhere.

For a  $G$ -space  $X$ , we define an action of  $G_n$  on  $X^n$  as follows: given  $a = ((g_1, \dots, g_n), s)$ , we let

$$a.(x_1, \dots, x_n) = (g_1 x_{s^{-1}(1)}, \dots, g_n x_{s^{-1}(n)}) \quad (6)$$

where  $x_1, \dots, x_n \in X$ . Next we want to determine the fixed point set  $(X^n)^a$  for  $a \in G_n$ . Let us first calculate in the typical case  $a = ((g, 1, \dots, 1), \tau) \in G_n$ . Note that the centralizer group  $Z_G(g)$  preserves the  $g$ -fixed point set  $X^g$ .

**Lemma 4** *The fixed point set is*

$$(X^n)^a = \{(x, \dots, x) \in X^n \mid x = gx\}$$

which can be naturally identified with  $X^g$ . The action of  $Z_{G_n}(a)$  on  $(X^n)^a$  can be identified canonically with that of  $Z_G(g)$  on  $X^g$  together with the trivial action of the cyclic group  $\langle a \rangle$  (cf. Lemma 1). Thus

$$(X^n)^a / Z_{G_n}(a) \approx X^g / Z_G(g).$$

*Proof.* Let  $(x_1, \dots, x_n)$  be in the fixed point set  $(X^n)^a$ . By Eq. (6) we have

$$\begin{aligned} (x_1, x_2, x_3, \dots, x_n) &= a.(x_1, x_2, x_3, \dots, x_n) \\ &= (gx_n, x_1, x_2, \dots, x_{n-1}). \end{aligned}$$

So all  $x_i (i = 1, \dots, n)$  are equal to, say  $x$ , and  $gx = x$ . The remaining statements follow from Lemma 1.  $\square$

All  $Z_G(g)$  are conjugate and all  $X^g$  are homeomorphic to each other for different representatives  $g$  in a fixed conjugacy class  $c \in G_*$ . Also the orbit space  $X^g / Z_G(g)$  can be identified with each other by conjugation for different representatives of  $g$  in  $c \in G_*$ . We make a convention to denote  $Z_G(g)$  (resp.  $X^g$ ,  $X^g / Z_G(g)$ ) by  $Z_G(c)$  (resp.  $X^c$ ,  $X^c / Z_G(c)$ ) by abuse of notations when the choice of a representative  $g$  in  $c$  is immaterial.

**Lemma 5** *Retain the notations in Lemma 2. The fixed point set  $(X^n)^a$  can be naturally identified with  $\prod_{c,r} (X^c)^{m_r(c)}$ . Furthermore the orbit space  $(X^n)^a / Z_{G_n}(a)$  can be naturally identified with*

$$\prod_{c,r} S^{m_r(c)} (X^c / Z_G(c))$$

where  $S^m(\cdot)$  denotes the  $m$ -th symmetric product.

*Proof.* The first part easily follows from Lemma 4. By Lemma 2 and Lemma 4, the action of  $Z_{G_n}(a)$  on  $(X^n)^a$  can be naturally identified with that of

$$\prod_{c,r} \left( (Z_G^{\Delta_r}(g) \cdot \langle g_r(c) \rangle) \right) \sim S_{m_r(c)}$$

on  $\prod_{c,r} (X^c)^{m_r(c)}$  where  $S_{m_r(c)}$  acts by permutation and  $\langle g_r(c) \rangle$  acts on  $X^c$  trivially. Thus the second part of the lemma follows.  $\square$

## 2 The Hopf algebra structure on $\mathcal{F}_G(X)$

Given a compact Hausdorff  $G$ -space  $X$ , we recall [A1, S1] that  $K_G^0(X)$  is the Grothendieck group of  $G$ -vector bundles over  $X$ . One can define  $K_G^1(X)$  in terms of the  $K^0$  functor and a certain suspension operation, and one puts

$$K_G(X) = K_G^0(X) \oplus K_G^1(X).$$

The tensor product of vector bundles gives rise to a multiplication on  $K_G(X)$  which is super (i.e.  $\mathbb{Z}_2$ -graded) commutative. In this paper we will be only concerned about the free part  $K(X) \otimes \mathbb{C}$ , which will be denoted by  $\underline{K}_G(X)$  subsequently. We denote by  $\dim K_G^i(X)$  ( $i = 0, 1$ ) the dimension of  $K_G^i(X) \otimes \mathbb{C}$ .

If  $X$  is locally compact, Hausdorff and paracompact  $G$ -space, take the one-point compactification  $X^+$  with the extra point  $\infty$  fixed by  $G$ . Then we define  $K_G^0(X)$  to be the kernel of the map

$$K_G^0(X^+) \longrightarrow K_G^0(\{\infty\})$$

induced by the inclusion map  $\{\infty\} \hookrightarrow X^+$ . It is clear that this definition is equivalent to the earlier one when  $X$  is compact. We also define  $K_G^1(X) = K_G^1(X^+)$ .

Note that  $K_G(pt)$  is isomorphic to the Grothendieck ring  $R(G)$  and  $\underline{K}_G(pt)$  is isomorphic to the ring  $C(G)$  of class functions on  $G$ . The bilinear map  $\star$  induced from the tensor product

$$K_G(pt) \otimes K_G(X) \longrightarrow K_G(X)$$

gives rise to a natural  $\underline{K}_G(pt)$ -module structure on  $\underline{K}_G(X)$ . Thus  $\underline{K}_G(X)$  naturally decomposes into a direct sum over the set of conjugacy classes  $G_*$  of  $G$ . The following theorem [AS] (also cf. [BC]) gives a description of each direct summand.

**Theorem 1** *There is a natural  $\mathbb{Z}_2$ -graded isomorphism*

$$\phi : \underline{K}_G(X) \longrightarrow \bigoplus_{[g]} \underline{K}(X^g/Z_G(g)).$$

Given  $c \in G_*$ , we denote by  $\sigma_c$  the class function which takes value  $\zeta_c$  at an element of  $G$  lying in the conjugacy class  $c$  and 0 otherwise. Then an element in  $\underline{K}_G(X)$  can be written as of the form  $\sum_{c \in G_*} \xi_c \sigma_c$ , where  $\xi_c \in \underline{K}(X^g/Z_G(g))$ . More explicitly the isomorphism  $\phi$  is defined as follows when  $X$  is compact: if  $E$  is a  $G$ -vector bundle over  $X$  its restriction to  $X^g$  is acted by  $g$  with base points fixed. Thus  $E|_{X^g}$  splits into a direct sum of subbundles  $E_\mu$  consisting of eigenspaces of  $g$  fiberwise for each eigenvalue  $\mu$  of  $g$ .  $Z_G(g)$  acts on  $X^g$  and one may check that  $\sum_\mu \mu E_\mu$  indeed lies in the  $Z_G(g)$ -invariant part of  $\underline{K}^0(X^g)$ . Put

$$\phi_g(E) = \sum_\mu \mu E_\mu \in \underline{K}^0(X^g/Z_G(g)) = \underline{K}^0(X^g)^{Z_G(g)}.$$

The isomorphism  $\phi$  on the  $K^0$  part is given by  $\phi = \bigoplus_{[g] \in G_*} \phi_g$ . Then one easily extends the isomorphism  $\phi$  to  $K^1$  as  $K_G^1(X)$  can be identified with the kernel of the map from  $K_G^0(X \times S^1)$  to  $K_G^0(X)$  given by the inclusion of a point in  $S^1$ . When  $X$  is a point the isomorphism  $\phi$  becomes the map from a representation of  $G$  to its character. By some standard arguments using compact pairs [A1], the isomorphism remains valid for a locally compact, Hausdorff and paracompact  $G$ -space. The following lemma is well known.

**Lemma 6** *Given a finite group  $G$ , a subgroup  $H$  of  $G$ , and a  $G$ -space  $X$ . There is a natural induction functor  $\text{Ind} = \text{Ind}_H^G : K_H(X) \longrightarrow K_G(X)$ . In particular when  $X$  is a point, the functor  $\text{Ind}_H^G$  reduces to the familiar induction functor of representations.*

*Proof.* Note that there is a  $G$ -equivariant isomorphism

$$G \times_H X \xrightarrow{\text{Iso}} G/H \times X \quad (7)$$

by sending  $(g, x) \in G \times_H X$  to  $(gH, gx)$ . We remark that although both sides of (7) remain well-defined for a  $H$ -space  $X$  without a  $G$ -action, the map  $\text{Iso}$  makes sense only for a  $G$ -space  $X$ . Denote by  $p : G \times_H X \longrightarrow X$  the composition of the projection  $G/H \times X$  to  $X$  with the isomorphism (7). As is well known [S1], one has a natural isomorphism

$$K_H(X) \longrightarrow K_G(G \times_H X)$$

by sending an  $H$ -equivariant vector bundle  $V$  on  $X$  to the  $G$ -equivariant vector bundle  $G \times_H V$ . The composition  $p \circ \pi$  of the projection  $p : G \times_H X \longrightarrow X$  with the bundle map  $\pi : G \times_H V \longrightarrow G \times_H X$  sends  $(g, v)$  to  $(g, \pi(v))$ . One easily check that this gives rise to a well-defined  $G$ -equivariant vector bundle on  $X$ , which induces the induction functor  $\text{Ind}_H^G : K_H(X) \longrightarrow K_G(X)$ .  $\square$

We denote by  $\text{Res}_H^G$  (or  $\text{Res}_H$ , or even  $\text{Res}$ , if there is no ambiguity) the *restriction functor* from  $K_G(X)$  to  $K_H(X)$  by regarding a  $G$ -equivariant vector bundle as an  $H$ -equivariant vector bundle. Denote

$$\mathcal{F}_G(X) = \bigoplus_{n \geq 0} \underline{K}_{G_n}(X^n), \quad \mathcal{F}_G^q(X) = \bigoplus_{n \geq 0} q^n \underline{K}_{G_n}(X^n)$$

where  $q$  is a formal variable counting the graded structure of  $\mathcal{F}_G(X)$ . We introduce the notion of  $q$ -dimension:

$$\dim_q \mathcal{F}_G(X) = \sum_{n \geq 0} q^n \dim K_{G_n}(X^n).$$

Define a multiplication  $\cdot$  on  $\mathcal{F}_G(X)$  by a composition of the induction map and the Künneth isomorphism  $k$ :

$$\underline{K}_{G_n}(X^n) \otimes \underline{K}_{G_m}(X^m) \xrightarrow{k} \underline{K}_{G_n \times G_m}(X^{n+m}) \xrightarrow{\text{Ind}} \underline{K}_{G_{n+m}}(X^{n+m}). \quad (8)$$



We denote by 1 the unit in  $K_{G_0}(X^0) \cong \mathbb{C}$ .

On the other hand we can define a comultiplication  $\Delta$  on  $\mathcal{F}_G(X)$ , given by a composition of the inverse of the Künneth isomorphism and the restriction from  $G_n$  to  $G_k \times G_{n-k}$ :

$$\underline{K}_{G_n}(X^n) \longrightarrow \bigoplus_{m=0}^n \underline{K}_{G_m \times G_{n-m}}(X^n) \xrightarrow{k^{-1}} \bigoplus_{m=0}^n \underline{K}_{G_m}(X^m) \otimes \underline{K}_{G_{n-m}}(X^{n-m}).$$

We define the counit  $\epsilon : \mathcal{F}_G(X) \longrightarrow \mathbb{C}$  by sending  $\underline{K}_{G_n}(X^n)$  ( $n > 0$ ) to 0 and  $1 \in K_{G_0}(X^0) \approx \mathbb{C}$  to 1. The antipode can be also easily defined (see Remark 2).

**Theorem 2** *With various operations defined as above,  $\mathcal{F}_G(X)$  is a graded Hopf algebra.*

To prove Theorem 2, we will need some preparation. Given two subgroups  $H$  and  $L$  of a finite group  $\Gamma$  and a  $\Gamma$ -space  $Y$ , and let  $V$  be an  $H$ -equivariant vector bundle on  $Y$ . We denote the action of  $H$  on  $V$  by  $\rho$ . Choose a set of representatives  $S$  of the double coset  $H \backslash \Gamma / L$ .  $H_s = sHs^{-1} \cap L$  is a subgroup of  $L$  for  $s \in S$ . We denote by  $V_s$  the  $H_s$ -equivariant vector bundle on  $Y$  which is the same as  $V$  as a vector bundle and has the conjugated action

$$\rho^s(x) = \rho(s^{-1}xs), \quad x \in H_s. \quad (9)$$

**Lemma 7**  *$\text{Res}_L \text{Ind}_H^\Gamma V$  is isomorphic to the direct sum of the  $L$ -equivariant vector bundles  $\text{Ind}_{H_s}^L V_s$  for all  $s \in H \backslash \Gamma / L$ .*

One easily shows that one can extend  $V$  in Lemma 7 to the whole  $K_H(Y)$ . In the case  $Y = pt$ , an  $H$ -equivariant vector bundle is just an  $H$ -module, and the induction and restriction functors become the more familiar ones in representation theory. In such a case, the above lemma is standard (cf. e.g. [Ser], Proposition 2.2). In view of our construction of the induction functor and the restriction functor, the proof of Lemma 7 is essentially the same as in the case  $X = pt$  which we refer to [Ser] for a proof.

*Proof of Theorem 2.* We will show below that the comultiplication  $\Delta$  is an algebra homomorphism. The other Hopf algebra axioms are easy to check.

We apply Lemma 7 to the case  $Y = X^N$ ,  $L = G_m \times G_n$ ,  $H = G_l \times G_r$ , and  $\Gamma = G_N$ , where  $l + r = m + n = N$ . In this case the double coset  $H \backslash \Gamma / L$  is isomorphic to  $(S_l \times S_r) \backslash S_N / (S_m \times S_n)$  since  $G_N = G^N \cdot S_N$  and  $G_l \times G_r = G^N \cdot (S_l \times S_r)$ . Furthermore  $(S_l \times S_r) \backslash S_N / (S_m \times S_n)$  is parametrized by the  $2 \times 2$  matrices

$$\begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix} \quad (10)$$

satisfying

$$\begin{aligned} a_{ij} &\in \mathbb{Z}_+, \quad i, j = 1, 2, \\ a_{11} + a_{12} &= m, \quad a_{21} + a_{22} = n, \\ a_{11} + a_{21} &= l, \quad a_{12} + a_{22} = r. \end{aligned} \tag{11}$$

We denote by  $\mathcal{M}$  the set of all the  $2 \times 2$  matrices of the form (10) satisfying the conditions (11).

Given  $E \in \underline{K}_{G_l}(X^l), F \in \underline{K}_{G_r}(X^r)$ , we calculate by using Lemma 7 as follows:

$$\begin{aligned} \text{Res}_{(m,n)} \text{Ind}_{(l,r)}^N(E \boxtimes F) &= \bigoplus_{A \in \mathcal{M}} \text{Ind}_A^{(m,n)}(1_{a_{11}} \otimes T^{(a_{12}, a_{21})} \otimes 1_{a_{22}})(\text{Res}_{A'}(E \boxtimes F)) \\ &= \bigoplus_{A \in \mathcal{M}} \text{Ind}_{(a_{11}, a_{12})}^m F_1 \boxtimes \text{Ind}_{(a_{21}, a_{22})}^n F_2. \end{aligned} \tag{12}$$

Here the superscript or subscript  $(a, b)$  is a short-hand notations for  $G_a \times G_b$ .  $1_a$  stands for the identity operator from  $\underline{K}_{G_a}(X^a)$  to itself, and  $\text{Ind}_{(a,b)}^{a+b}$  for the induction functor from  $\underline{K}_{G_a \times G_b}(X^{a+b})$  to  $\underline{K}_{G_{a+b}}(X^{a+b})$ .  $T^{(a,b)}$  denotes the canonical functor from  $\underline{K}_{G_a}(X^a) \otimes \underline{K}_{G_b}(X^b)$  to  $\underline{K}_{G_b}(X^b) \otimes \underline{K}_{G_a}(X^a)$  by switching the factors with an appropriate sign coming from the  $\mathbb{Z}_2$  grading of K-theory. Given  $A \in \mathcal{M}$  of the form (10), the  $A$  in the expressions  $\text{Res}_A, \text{Ind}_A$  etc stands for  $G_A \equiv G_{a_{11}} \times G_{a_{12}} \times G_{a_{21}} \times G_{a_{22}}$  while  $A'$  for  $G_{A'} \equiv G_{a_{11}} \times G_{a_{21}} \times G_{a_{12}} \times G_{a_{22}}$ . We wrote  $(1 \otimes T^{(a_{12}, a_{21})} \otimes 1)(\text{Res}_{A'}(E \boxtimes F))$  as  $F_1 \boxtimes F_2$  instead of a direct sum of the form  $F_1 \boxtimes F_2$  in order to simplify notations, with  $F_i$  ( $i = 1, 2$ ) the corresponding elements in  $\underline{K}_{G_{a_{i1}} \times G_{a_{i2}}}(X^{a_{i1} + a_{i2}})$ .

Now it is straightforward to check that the statement that  $\Delta$  is an algebra homomorphism is just a reformulation of the identity obtained by summing Eq. (12) over all possible  $(m, n)$  with  $m + n = N$ .  $\square$

### 3 A description of $\mathcal{F}_G(X)$ as a graded algebra

In this section, we give an explicit description of  $\mathcal{F}_G(X)$  as a graded algebra which in particular tells us the dimension of  $\underline{K}_{G_n}(X^n)$ .

**Theorem 3** *As a  $(\mathbb{Z}_+ \times \mathbb{Z}_2)$ -graded algebra  $\mathcal{F}_G^q(X)$  is isomorphic to the supersymmetric algebra  $\mathcal{S}(\bigoplus_{n \geq 1} q^n \underline{K}_G(X))$ . In particular,*

$$\dim_q \mathcal{F}_G(X) = \frac{\prod_{r \geq 1} (1 + q^r)^{\dim K_G^1(X)}}{\prod_{r \geq 1} (1 - q^r)^{\dim K_G^0(X)}}.$$

The supersymmetric algebra here is equal to the tensor product of the symmetric algebra  $\mathcal{S}(\bigoplus_{n \geq 1} q^n \underline{K}_G^0(X))$  and the exterior algebra  $\Lambda(\bigoplus_{n \geq 1} q^n \underline{K}_G^1(X))$ .

*Proof.* Take  $a \in G_n$  of type  $\rho = \{m_r(c)\}_{c,r}$  as in Sect. 1. By Lemma 2 and Lemma 5 and the Künneth formula, we have

$$\begin{aligned} K((X^n)^a/Z_{G_n}(a)) &\approx \bigotimes_{c \in G_*, r \geq 1} \left( \left( K(X^c)^{Z_G(c)} \right)^{\otimes m_r(c)} \right)^{S_{m_r(c)}} \\ &\approx \bigotimes_{c \in G_*, r \geq 1} \mathcal{S}^{m_r(c)}(K(X^c/Z_G(c))). \end{aligned} \quad (13)$$

Thus if we take a summation of Eq. (13) over all conjugacy classes of  $G_n$  and all over  $n \geq 0$ , we obtain:

$$\begin{aligned} \mathcal{F}_G^q(X) &\approx \bigoplus_{n \geq 0} \bigoplus_{\{m_r(c)\}_{c,r} \in \mathcal{P}_n(G_*)} q^n \bigotimes_{c,r} \mathcal{S}^{m_r(c)}(\underline{K}(X^c/Z_G(c))) && \text{by Theorem 1,} \\ &= \bigoplus_{\{m_r(c)\}_{c,r} \in \mathcal{P}(G_*)} \bigotimes_{c,r} \mathcal{S}^{m_r(c)}(q^r \underline{K}(X^c/Z_G(c))) \\ &= \bigoplus_{\{m_r\}_r} \bigotimes_{r \geq 1} \mathcal{S}^{m_r} \left( \bigoplus_{c \in G_*} q^r \underline{K}(X^c/Z_G(c)) \right) && \text{by letting } m_r = \sum_{c \in G_*} m_r(c), \\ &= \bigoplus_{\{m_r\}_r} \bigotimes_{r \geq 1} \mathcal{S}^{m_r}(q^r \underline{K}_G(X)) && \text{by Theorem 1,} \\ &= \mathcal{S} \left( \bigoplus_{r \geq 1} q^r \underline{K}_G(X) \right). \end{aligned}$$

The statement concerning  $\dim_q \mathcal{F}_G(X)$  is an immediate consequence.  $\square$

**Remark 1** 1) Theorem 3 in the case when  $G$  is the trivial group (and so  $G_n = S_n$ ) is due to Segal [S2]. Our proof is adapted from his to the wreath product setting.

2) If  $G$  acts on  $X$  freely, so does  $G^n$  on  $X^n$ . Then we have the isomorphism  $K(X/G) \approx K_G(X)$ . Note that  $G^n$  is a normal subgroup of the wreath product  $G_n$  and the quotient  $G_n/G^n$  is isomorphic to  $S_n$ . By Proposition 2.1 in Segal [S1] we see that

$$K_{G_n}(X^n) \approx K_{G_n/G^n}(X^n/G^n) = K_{S_n}((X/G)^n). \quad (14)$$

Therefore Theorem 3 follows from the special case  $G = 1$  of Theorem 3 (applying to  $X/G$ ) and Eq. (14).

3) When  $X$  is a point,  $\mathcal{F}_G(pt) = \bigoplus_{n \geq 0} R(G_n)$ , and  $\sigma^\rho, \rho \in \mathcal{P}(G_*)$  form a linear basis for  $\mathcal{F}_G(pt)$  (cf. [M2]). In particular,

$$\dim_q \mathcal{F}_G(pt) = \prod_{r \geq 1} (1 - q^r)^{-|G_*|}.$$

- 4) One may reformulate Theorem 3 in terms of the de-localized equivariant cohomology [BBM, BC] via the Chern character.

**Remark 2** The Hopf algebra defined in Sect. 2 can be identified via the isomorphism in Theorem 3 with the standard one on the supersymmetric algebra  $\mathcal{S}(\oplus_{n \geq 1} \underline{K}_G(X)[n])$  by showing the sets of primitive vectors correspond to each other. Here  $\underline{K}_G(X)[n]$  denotes the  $n$ -th copy of  $\underline{K}_G(X)$  (see Theorem 3). The antipode of the former space can be transferred via the isomorphism from the latter one.

## 4 The $\lambda$ -ring structure on $\mathcal{F}_G(X)$

Let us denote by  $c_n$  the conjugacy class in  $G_n$  which has the type of an  $n$ -cycle and whose cycle product lies in the conjugacy class  $c \in G_*$ . We consider the following diagram of K-theory maps:

$$\begin{array}{c}
 \underline{K}_G(X) \xrightarrow{\boxtimes n} \underline{K}_{G_n}(X^n) \xrightarrow{\phi_n} \bigoplus_{[a] \in (G_n)_*} \underline{K}((X^n)^a / Z_{G_n}(a)) \\
 \begin{array}{c} \xrightarrow{pr} \\ \xleftarrow{\iota} \end{array} \bigoplus_{c \in G_*} \underline{K}((X^n)^{c_n} / Z_{G_n}(c_n)) \xrightarrow{\vartheta} \bigoplus_{c \in G_*} \underline{K}(X^c / Z_G(c)) \\
 \xleftarrow{\phi} \underline{K}_G(X).
 \end{array}$$

Given a  $G$ -equivariant vector bundle  $V$ , we define a  $G_n$ -action on the  $n$ -th outer tensor product  $V^{\boxtimes n}$  by letting

$$((g_1, \dots, g_n), s).v_1 \otimes \dots \otimes v_n = g_1 v_{s^{-1}(1)} \otimes \dots \otimes g_n v_{s^{-1}(n)} \quad (15)$$

where  $g_1, \dots, g_n \in G, s \in S_n$ . Clearly  $V^{\boxtimes n}$  endowed with such a  $G_n$  action is a  $G_n$ -equivariant vector bundle over  $X^n$ . Sending  $V$  to  $V^{\boxtimes n}$  gives rise to the K-theory map  $\boxtimes n$ .  $\phi_n$  is the isomorphism in Theorem 1 when applying to the case  $X^n$  with the action of  $G_n$ .  $pr$  is the projection to the direct sum over the conjugacy classes of  $G_n$  which are of the type of an  $n$ -cycle while  $\iota$  denotes the inclusion map.  $\vartheta$  denotes the natural identification given by Lemma 5. Finally the last map  $\phi$  is the isomorphism given in Theorem 1.

We shall now define a  $\lambda$ -ring structure on  $\mathcal{F}_G(X)$ . It suffices to define the Adams operations  $\phi^n$  on  $\mathcal{F}_G(X)$ . We also introduce several other K-theory operations which will be needed later.

**Definition 1** We define the following composition maps:

$$\begin{aligned}
 \psi^n &:= n\phi^{-1} \circ \vartheta \circ pr \circ \phi_n \circ \boxtimes n : \underline{K}_G(X) \longrightarrow \underline{K}_G(X), \\
 \varphi^n &:= n\phi_n^{-1} \circ \iota \circ pr \circ \phi_n \circ \boxtimes n : \underline{K}_G(X) \longrightarrow \underline{K}_{G_n}(X^n), \\
 ch_n &:= \phi^{-1} \circ \vartheta \circ pr \circ \phi_n : \underline{K}_{G_n}(X^n) \longrightarrow \underline{K}_G(X), \\
 \omega_n &:= n\phi_n^{-1} \circ \iota \circ \vartheta^{-1} \circ \phi : \underline{K}_G(X) \longrightarrow \underline{K}_{G_n}(X^n).
 \end{aligned}$$

We list some properties of these K-theory maps whose proof is straightforward.

**Proposition 2** *The following identities hold:*

$$ch_n \circ \omega_n = n \text{ Id}, \quad \omega_n \circ \psi^n = n\varphi^n, \quad ch_n \circ \varphi^n = n\psi^n.$$

Recall that  $\sigma_n(c)$  is the class function of  $G_n$  which takes value  $n\zeta_c$  at an  $n$ -cycle whose cycle-product lies in  $c \in G_*$  and 0 otherwise.

**Lemma 8** 1)  $\varphi^n(V) = \sum_{c \in G_*} \zeta_c^{-1} V^{\boxtimes n} \star \sigma_n(c)$ .

2) Both  $\psi^n$  and  $\varphi^n$  are additive K-theory maps.

Note that the order of the centralizer of an element lying in the conjugacy class  $c_n$  is equal to  $n\zeta_c$ . The first part of the above lemma now follows from the definition of  $\varphi^n$  and Lemma 3. The second part can be proved in exactly the same way as in the symmetric group case [A]. We record here only a useful formula in the proof: Given  $V, W$  two  $G$ -equivariant vector bundles, let  $[V]$  denote the corresponding element of  $V$  in  $K_G(X)$ . (In general we use  $V$  itself to denote the corresponding element in  $K_G(X)$  by abuse of notation). Then

$$([V] - [W])^{\boxtimes n} = \sum_{j=0}^n (-1)^j \text{Ind}_{G_{n-j} \times G_j}^{G_n} [V^{\boxtimes(n-j)} \boxtimes W^{\boxtimes j}] \in K_{G_n}(X^n). \quad (16)$$

Here  $V^{\boxtimes(n-j)}$  endows the standard  $G_{n-j}$ -action given by substituting  $n$  with  $n-j$  in Eq. (15), and  $G_j$  acts on  $W^{\boxtimes j}$  by the tensor product of the standard  $G_j$ -action with the sign representation of  $G_j$ .

$\psi^n$ 's are the Adams operations on  $\underline{K}_G(X)$ , giving rise to the  $\lambda$ -ring structure on  $\underline{K}_G(X)$ . Theorem 3 ensures us that  $\mathcal{F}_G(X)$  as a  $\lambda$ -ring is free and generated by  $\underline{K}_G(X)$ .

**Proposition 3**  $\mathcal{F}_G(X)$  is a free  $\lambda$ -ring generated by  $K_G(X) \otimes \mathbb{C}$ , with  $\varphi^n$ 's as the Adams operations.

**Remark 3** If  $X$  is a point, then  $K_{G_n}(pt) = R(G_n)$  and  $\mathcal{F}_G(pt) = \bigoplus_{n \geq 0} R(G_n)$ . Our result reduces to the fact that  $\mathcal{F}_G(pt)$  is a free  $\lambda$ -ring generated by  $G_*$  [M1]. In the case when  $G = 1$ , the proposition was due to Segal [S2].

Denote by  $\widehat{\mathcal{F}}_G^q(X)$  the completion of  $\mathcal{F}_G^q(X)$  which allows formal infinite sums. Given  $V \in \underline{K}_G(X)$ , we introduce  $H(V, q), E(V, q) \in \widehat{\mathcal{F}}_G^q(X)$  as follows:

$$\begin{aligned} H(V, q) &= \bigoplus_{n \geq 0} q^n V^{\boxtimes n}, \\ E(V, q) &= \bigoplus_{n \geq 0} q^n V^{\boxtimes n} \star \underline{1}^n. \end{aligned}$$

**Proposition 4** *One can express  $H(V, q)$  and  $E(V, q)$  in terms of  $\varphi^r(V)$  as follows:*

$$\begin{aligned} H(V, q) &= \exp \left( \sum_{r>0} \frac{1}{r} \varphi^r(V) q^r \right), \\ E(V, -q) &= \exp \left( - \sum_{r>0} \frac{1}{r} \varphi^r(V) q^r \right). \end{aligned} \quad (17)$$

*Proof.* We shall prove Eq. (17) by using Eq. (4). The formula for  $E(V, -q)$  can be similarly obtained by using Eq. (5).

$$\begin{aligned} H(V, q) &= \bigoplus_{n \geq 0} q^n V^{\boxtimes n} \star \underline{n} \\ &= \bigoplus_{n \geq 0} q^n V^{\boxtimes n} \star \left( \sum_{||\rho||=n} Z_\rho^{-1} \sigma^\rho \right) \\ &= \bigoplus_{n \geq 0} \sum_{||\rho||=n} \left( Z_\rho^{-1} q^n V^{\boxtimes n} \star \sigma^\rho \right) \\ &\stackrel{(*)}{=} \prod_{c \in G_*, r \geq 1} \frac{1}{m_r(c)!} \left( \frac{1}{r} q^r \zeta_c^{-1} V^{\boxtimes r} \star \sigma_r(c) \right)^{m_r(c)} \\ &= \exp \left( \sum_{r \geq 1} \frac{1}{r} q^r \sum_{c \in G_*} \zeta_c^{-1} V^{\boxtimes r} \star \sigma_r(c) \right) \quad \text{by Lemma 8,} \\ &= \exp \left( \sum_{r \geq 1} \frac{1}{r} q^r \varphi^r(V) \right). \end{aligned}$$

Here the equation  $(*)$  is understood by means of the multiplication in  $\mathcal{F}_G(X)$  given by the composition (8).  $\square$

**Corollary 1** *The  $\lambda$ -operations  $\lambda^n$  on the  $\lambda$  ring  $\mathcal{F}_G(X)$  sends  $V \in \underline{K}_G(X)$  to  $V^{\boxtimes n} \star \underline{1}^n$ .*

Combining with the additivity of  $\varphi^r$ , we have the following.

**Corollary 2** *The following equations hold for  $V, W \in \underline{K}_G(X)$ :*

$$\begin{aligned} H(-V, q) &= E(V, -q) \\ H(V \oplus W, q) &= H(V, q) H(W, q). \end{aligned}$$

## 5 $\mathcal{F}_G(X)$ and a Heisenberg superalgebra

We see from Theorem 3 that  $\mathcal{F}_G(X)$  has the same size of the tensor product of the Fock space of an infinite-dimensional Heisenberg algebra of rank  $\dim K_G^0(X)$  and

that of an infinite-dimensional Clifford algebra of rank  $\dim K_G^1(X)$ . It is our next step to actually construct such an Heisenberg/Clifford algebra. We will simply refer to as Heisenberg superalgebra from now on.

The dual of  $\underline{K}_G(X)$ , denoted by  $\underline{K}_G(X)^*$ , is naturally  $\mathbb{Z}_2$ -graded as identified with  $\underline{K}_G^0(X)^* \oplus \underline{K}_G^1(X)^*$ . Denote by  $\langle \cdot, \cdot \rangle$  the pairing between  $\underline{K}_G(X)^*$  and  $\underline{K}_G(X)$ . For any  $n, m \geq 1$  and  $\eta \in \underline{K}_G(X)^*$ , we define an additive map

$$a_{-m}(\eta) : \underline{K}_{G_n}(X^n) \longrightarrow \underline{K}_{G_{n-m}}(X^{n-m}) \quad (18)$$

as the composition

$$\begin{aligned} \underline{K}_{G_n}(X^n) &\xrightarrow{\text{Res}} \underline{K}_{G_m \times G_{n-m}}(X^n) \xrightarrow{k^{-1}} \underline{K}_{G_m}(X^m) \otimes \underline{K}_{G_{n-m}}(X^{n-m}) \\ &\xrightarrow{\text{ch}_m \otimes 1} \underline{K}_G(X) \otimes \underline{K}_{G_{n-m}}(X^{n-m}) \xrightarrow{\eta \otimes 1} \underline{K}_{G_{n-m}}(X^{n-m}). \end{aligned}$$

On the other hand, we define for any  $m \geq 1$  and  $V \in \underline{K}_G(X)$  an additive map

$$a_m(V) : \underline{K}_{G_{n-m}}(X^{n-m}) \longrightarrow \underline{K}_{G_n}(X^n) \quad (19)$$

as the composition

$$\begin{aligned} \underline{K}_{G_{n-m}}(X^{n-m}) &\xrightarrow{\omega_m(V) \boxtimes \cdot} \underline{K}_{G_m}(X^m) \otimes \underline{K}_{G_{n-m}}(X^{n-m}) \\ &\xrightarrow{k} \underline{K}_{G_m \times G_{n-m}}(X^n) \xrightarrow{\text{Ind}} \underline{K}_{G_n}(X^n). \end{aligned}$$

Let  $\mathcal{H}$  be the linear span of the operators  $a_{-m}(\eta), a_m(V), m \geq 1, \eta \in \underline{K}_G(X)^*, V \in \underline{K}_G(X)$ . Clearly  $\mathcal{H}$  admits a natural  $\mathbb{Z}_2$ -gradation induced from that on  $\underline{K}_G(X)$  and  $\underline{K}_G(X)^*$ . Below we shall use  $[\cdot, \cdot]$  to denote the supercommutator as well. It is understood that  $[a, b]$  is the anti-commutator  $ab + ba$  when  $a, b \in \mathcal{H}$  are both odd elements according to the  $\mathbb{Z}_2$ -gradation.

**Theorem 4** *When acting on  $\mathcal{F}_G(X)$ ,  $\mathcal{H}$  satisfies the Heisenberg superalgebra commutation relations, namely for  $m, l \geq 1, \eta, \eta' \in \underline{K}_G(X)^*, V, W \in \underline{K}_G(X)$ ,*

$$[a_{-m}(\eta), a_l(V)] = l\delta_{m,l}\langle \eta, V \rangle, \quad (20)$$

$$[a_m(W), a_l(V)] = 0, \quad (21)$$

$$[a_{-m}(\eta), a_{-l}(\eta')] = 0. \quad (22)$$

Furthermore  $\mathcal{F}_G(X)$  is an irreducible representation of the Heisenberg superalgebra.

*Proof.* We may assume that  $V, \eta$  are homogeneous, say of degree  $v$  and  $e$  where  $v, e \in \{0, 1\}$ , according to the  $\mathbb{Z}_2$ -grading of  $\underline{K}_G(X)$  and its dual. We keep using the notations in the proof of Theorem 2.

Given  $E \in \underline{K}_{G_r}(X^r)$ , we first observe by the definitions (18) and (19) that  $a_{-m}(\eta)a_l(V)E$  (resp.  $(-1)^{ve}a_l(V)a_{-m}(\eta)E$ ) is given by the composition from the top to the bottom along the left (resp. right) side of the diagram below:

$$\begin{array}{ccc}
& \underline{K}_{G_r}(X^r) & \\
& \downarrow \omega_l(V) \boxtimes \cdot & \\
& \underline{K}_{G_l}(X^l) \otimes \underline{K}_{G_r}(X^r) & \\
\text{Ind} \swarrow & & \searrow 1 \otimes \text{Res} \\
\underline{K}_{G_N}(X^N) & & \underline{K}_{G_l}(X^l) \otimes \underline{K}_{G_m}(X^m) \otimes \underline{K}_{G_{r-m}}(X^{r-m}) \\
\parallel & & \downarrow T^{(l,m)} \otimes 1 \\
\underline{K}_{G_N}(X^N) & & \underline{K}_{G_m}(X^m) \otimes \underline{K}_{G_l}(X^l) \otimes \underline{K}_{G_{r-m}}(X^{r-m}) \\
\text{Res} \searrow & & P \swarrow \text{Ind} \otimes 1 \\
& \underline{K}_{G_m}(X^m) \otimes \underline{K}_{G_n}(X^n) & \\
& \downarrow ch_m \otimes 1 & \\
& \underline{K}_G(X) \otimes \underline{K}_{G_n}(X^n) & \\
& \downarrow \eta \otimes 1 & \\
& \underline{K}_{G_n}(X^n) &
\end{array}$$

Here and below it is understood that when a negative integer appears in indices the corresponding term is 0. To simplify notations, we put Res (resp. Ind) instead of the composition  $k^{-1} \circ \text{Res}$  (resp.  $\text{Ind} \circ k$ ) in the above diagram and below.

We denote by  $\mathcal{M}'$  the set of all the  $2 \times 2$  matrices of the form (10) satisfying (11) except the following two matrices

$$\begin{bmatrix} 0 & m \\ l & r-m \end{bmatrix}, \quad \begin{bmatrix} m & 0 \\ l-m & r \end{bmatrix}.$$

As in the proof of Theorem 2, we apply Lemma 7 to the case  $Y = X^N, \Gamma = G_N, H = G_l \times G_r$ , and  $L = G_m \times G_n$ , where  $l + r = m + n = N$ .

$$\begin{aligned}
& \text{Res}_{(m,n)} \text{Ind}_{(l,r)}^N (\omega_l(V) \boxtimes E) \\
&= \bigoplus_{A \in \mathcal{M}} \text{Ind}_A^{(m,n)} (1_{a_{11}} \otimes T^{(a_{12}, a_{21})} \otimes 1_{a_{22}}) (\text{Res}_{A'} (\omega_l(V) \boxtimes E)) \\
&= \bigoplus_{A \in \mathcal{M}} \text{Ind}_{(a_{11}, a_{12})}^m F_1 \boxtimes \text{Ind}_{(a_{21}, a_{22})}^n F_2 \\
&= \bigoplus_{A \in \mathcal{M}'} \text{Ind}_{(a_{11}, a_{12})}^m F_1 \boxtimes \text{Ind}_{(a_{21}, a_{22})}^n F_2 \\
&\quad \bigoplus F_1 \boxtimes \text{Ind}_{(l, r-m)}^n F_2 \bigoplus F_1 \boxtimes \text{Ind}_{(l-m, r)}^n F_2 \\
&= \bigoplus_{A \in \mathcal{M}'} \text{Ind}_{(a_{11}, a_{12})}^m F_1 \boxtimes \text{Ind}_{(a_{21}, a_{22})}^n F_2 \\
&\quad \bigoplus (1_m \otimes \text{Ind}_{(l, r-m)}^n) (T^{(l,m)} \otimes 1_{l-m}) (1_l \otimes \text{Res}_{(m, r-m)}) (\omega_l(V) \boxtimes E) \quad (23) \\
&\quad \bigoplus (1_m \otimes \text{Ind}_{(l-m, r)}^n) (\text{Res}_{(m, l-m)} \otimes 1_r) (\omega_l(V) \boxtimes E).
\end{aligned}$$



We get 0 when applying the map  $ch_m \otimes 1$  to  $\text{Ind}_{(a_{11}, a_{12})}^m F_1 \boxtimes \text{Ind}_{(a_{21}, a_{22})}^n F_2$  for  $A \in \mathcal{M}'$  in (23) by Lemma 3. When applying  $(\eta \otimes 1) \circ (ch_m \otimes 1)$  to the second term of the r.h.s. of (23), we obtain  $(-1)^{ve} a_l(V) a_{-m}(\eta) E$ . When applying  $ch_m \otimes 1$  to the third term of the r.h.s. of (23), we get 0 if  $m \neq l$  by Lemma 3. In the case  $m = l$ , the third term of the r.h.s. of (23) is simply  $\omega_l(V) \boxtimes E$ . When applying  $(\eta \otimes 1) \circ (ch_m \otimes 1)$  to it, we get  $l\langle \eta, V \rangle E$  by Proposition 2. Putting all these pieces together, we have proved Eq. (20).

We may assume that  $W$  is homogeneous of degree  $w \in \{0, 1\}$  according to the  $\mathbb{Z}_2$ -grading of  $\underline{K}_G(X)$ . Eq. (21) is a consequence of the transitivity of the induction functor:

$$\begin{aligned}
& \text{Ind}_{G_m \times G_{l+r}}^{G_{m+l+r}} \left( \omega_m(W) \boxtimes \text{Ind}_{G_l \times G_r}^{G_{l+r}} (\omega_l(V) \boxtimes E) \right) \\
&= \text{Ind}_{G_m \times G_{l+r}}^{G_{m+l+r}} \left( \text{Ind}_{G_m \times G_l \times G_r}^{G_m \times G_{l+r}} (\omega_m(W) \boxtimes \omega_l(V) \boxtimes E) \right) \\
&= \text{Ind}_{G_m \times G_l \times G_r}^{G_{m+l+r}} (\omega_m(W) \boxtimes \omega_l(V) \boxtimes E) \\
&= (-1)^{vw} \text{Ind}_{G_l \times G_{m+r}}^{G_{m+l+r}} \left( \text{Ind}_{G_l \times G_m \times G_r}^{G_l \times G_{m+r}} (\omega_l(V) \boxtimes \omega_m(W) \boxtimes E) \right) \\
&= \text{Ind}_{G_l \times G_{m+r}}^{G_{m+l+r}} \left( \omega_l(V) \boxtimes \text{Ind}_{G_m \times G_r}^{G_{m+r}} (\omega_m(W) \boxtimes E) \right).
\end{aligned}$$

Similarly Eq. (22) is a consequence of the transitivity of the restriction functor. The irreducibility of  $\mathcal{F}_G(X)$  as a representation of  $\mathcal{H}$  follows immediately from the  $q$ -dimension formula for  $\mathcal{F}_G(X)$  given in Theorem 3.  $\square$

In the special case  $G = 1$ , the Heisenberg superalgebra was constructed by Segal [S2] which differs slightly from ours. Our proof follows his strategy of proof as well.

**Remark 4** One may consider the enlarged space

$$V_G := \mathcal{F}_G(pt) \otimes \mathbb{C}[R(G)]$$

where  $\mathbb{C}[R(G)]$  is the group algebra of the lattice  $R(G)$ . Note that  $V_G$  is the underlying space for a lattice vertex algebra [B, FLM]. In particular, when  $G$  is a finite subgroup of  $SL_2(\mathbb{C})$ , the space  $V_G$  is closely related to the Frenkel-Kac-Segal vertex representation of an affine Lie algebra. In this way, we are able to obtain a new link between the subgroups of  $SL_2(\mathbb{C})$  and the affine Lie algebras widely known as the McKay correspondence. Connections among symmetric functions,  $\mathcal{F}_G(pt)$ ,  $V_G$ , and vertex operators will be developed in a forthcoming paper.

More generally, one may consider

$$V_G(X) := \mathcal{F}_G(X) \otimes \mathbb{C}[K_G(X)] \quad (24)$$

when  $K_G(X)$  is torsion-free, where  $\mathbb{C}[K_G(X)]$  is the group algebra of the lattice  $\mathbb{C}[K_G(X)]$  (if  $K_G(X)$  is not torsion-free we replace  $K_G(X)$  in (24) by the free part of  $K_G(X)$  over  $\mathbb{Z}$ ).

## 6 The orbifold Euler characteristic $e(X^n, G_n)$

In the study of string theory on orbifolds, Dixon *et al* [DHVW] came up with a notion of *orbifold Euler characteristic* defined as follows:

$$e(X, G) = \frac{1}{|G|} \sum_{g_1 g_2 = g_2 g_1} e(X^{g_1, g_2}),$$

where  $X$  is a smooth  $G$ -manifold.  $X^{g_1, g_2}$  denotes the common fixed point set of  $g_1$  and  $g_2$  and  $e(\cdot)$  denotes the usual Euler characteristic. One easily shows [HH] that the orbifold Euler characteristic can be equivalently defined as

$$e(X, G) = \sum_{[g] \in G_*} e(X^g / Z_G(g)). \quad (25)$$

Denote by  $X^{(n)}$  the  $n$ -th symmetric product of  $X$ . Recall that Macdonald's formula [M] relates  $e(X^{(n)})$  to  $e(X)$  as follows:

$$\sum_{n=0}^{\infty} e(X^{(n)}) q^n = (1 - q)^{-e(X)}. \quad (26)$$

The following theorem relates the orbifold Euler characteristic  $e(X^n, G_n)$  to  $e(X, G)$ .

**Theorem 5** *We have  $\sum_{n \geq 0} e(X^n, G_n) q^n = \prod_{r=1}^{\infty} (1 - q^r)^{-e(X, G)}$ .*

*Proof.* For an alternative proof see Remark 5 below. By the definition of the orbifold Euler characteristic, Lemmas 2 and Lemma 5, we have

$$\begin{aligned} \sum_{n \geq 0} e(X^n, G_n) q^n &= \sum_{n \geq 0} \sum_{[a] \in (G_n)_*} e((X^n)^a / Z_{G_n}(a)) q^n && \text{by Eq. (25),} \\ &\stackrel{(A)}{=} \sum_{n \geq 0} \sum_{\sum_r r m_r(c) = n} \prod_{c \in G_*} e((X^c / Z_G(c))^{m_r(c)}) q^n \\ &= \prod_{c \in G_*} \prod_{r \geq 1} \left( \sum_{m_r(c) \geq 0} e((X^c / Z_G(c))^{m_r(c)}) q^{m_r(c)} \right) \\ &= \prod_{c \in G_*} \prod_{r \geq 1} (1 - q^r)^{-e(X^c / Z_G(c))} && \text{by applying (26) to } X^c / Z_G(c), \\ &= \frac{1}{\prod_{r=0}^{\infty} (1 - q^r)^{e(X, G)}} && \text{by Eq. (25).} \end{aligned}$$

Here Eq. (A) follows from Lemma 2 and Lemma 5.  $\square$

In the case when  $G$  is trivial, we recover a formula given in [HH].

**Remark 5** According to Atiyah and Segal [AS] the orbifold Euler characteristic can be calculated in terms of equivariant K-theory:

$$e(X, G) = \dim K_G^0(X) - \dim K_G^1(X). \quad (27)$$

Theorem 5 follows from Theorem 3 by applying Eq. (27) to  $K_{G_n}(X^n)$ .

One is interested [DHVW, HH] in finding a resolution of singularities

$$\widetilde{X/G} \longrightarrow X/G$$

with the property

$$e(X, G) = e(\widetilde{X/G}).$$

We assume that  $X$  is a smooth quasi-projective surface with such a property in the following discussions. Denote by  $X^{[n]}$  the Hilbert scheme of  $n$  points on  $X$ . According to Göttsche [G], the Euler characteristic of  $X^{[n]}$  is given by:

$$\sum_{n \geq 0} e(X^{[n]}) q^n = \prod_{r=0}^{\infty} (1 - q^r)^{-e(X)}. \quad (28)$$

We note that  $X^n/G_n$  is naturally identified with  $(X/G)^n/S_n$ . The following commutative diagram

$$\begin{array}{ccc} \widetilde{X/G}^{[n]} & \rightarrow & (\widetilde{X/G})^n / S_n \\ \downarrow & & \downarrow \\ X^n/G_n & \equiv & (X/G)^n / S_n \end{array}$$

implies that the Hilbert scheme  $\widetilde{X/G}^{[n]}$  is a resolution of singularity of  $X^n/G_n$  (indeed it is semismall). By applying Eq. (28) to  $\widetilde{X/G}$  and comparing with Theorem 5, we have the following corollary.

**Corollary 3** *Let  $X$  be a smooth quasi-projective surface and assume that there exists a smooth resolution  $\widetilde{X/G}$  of singularities of the orbifold  $X/G$  such that  $e(\widetilde{X/G}) = e(X, G)$ . Then there exists a resolution of singularities of  $X^n/G_n$  given by  $\widetilde{X/G}^{[n]}$  satisfying*

$$e(X^n, G_n) = e(\widetilde{X/G}^{[n]}).$$

The assumption of the existence of the resolution  $\widetilde{X/G}$  of singularities of  $X/G$  above is necessary as this is the special case of  $X^n/G_n$  for  $n = 1$ . In the setting of Corollary 3, we conjecture that  $\widetilde{X/G}^{[n]}$  is a *crepant* resolution of  $X^n/G_n$  provided that  $\widetilde{X/G}$  is a crepant resolution of singularities of  $X/G$ .

We consider a special case in detail. Let  $X$  be the complex plane  $\mathbb{C}^2$  acted upon by a finite subgroup  $G$  of  $SL_2(\mathbb{C})$ . Via the McKay correspondence [Mc], there is a one-to-one correspondence between the finite subgroups of  $SL_2(\mathbb{C})$  and the Dynkin diagrams of simply-laced types  $A_n$ ,  $D_n$ ,  $E_6$ ,  $E_7$  and  $E_8$ . Let us denote by  $\mathfrak{g}$  the simple Lie algebra corresponding to  $G$ . From the exact correspondence we know that the rank of  $\mathfrak{g}$  is  $|G_*| - 1$ .

The quotient  $\mathbb{C}^2/G$  has an isolated simple singularity at 0. There exists a minimal resolution  $\widetilde{\mathbb{C}^2/G}$  of  $\mathbb{C}^2/G$  well known as ALE-spaces (cf. e.g. [N]). It is known that the second homology group  $H_2(\widetilde{\mathbb{C}^2/G}, \mathbb{Z})$  is isomorphic to the root lattice of  $\mathfrak{g}$ , cf. e.g. [N]. In particular

$$\dim K\left(\widetilde{\mathbb{C}^2/G}\right) = \dim H\left(\widetilde{\mathbb{C}^2/G}\right) = |G_*|. \quad (29)$$

So if we apply Theorem 3 to the case  $\widetilde{\mathbb{C}^2/G}$  with a trivial group action, we have

$$\sum_{n \geq 0} \dim K_{S_n}\left(\widetilde{\mathbb{C}^2/G}^n\right) q^n = \prod_{r \geq 1} (1 - q^r)^{-|G_*|}.$$

On the other hand, by the Thom isomorphism [S1] we have

$$K_{G_n}(X^n) \approx K_{G_n}(pt) = R(G_n).$$

It follows from the part 3) of Remark 1 and Eq. (29) that

$$\sum_{n \geq 0} \dim K_{G_n}\left(\mathbb{C}^{2n}\right) q^n = \prod_{r \geq 1} (1 - q^r)^{-|G_*|}.$$

We can obtain another numerical coincidence from a somewhat different point of view as follows. For a general quasi-projective smooth surface  $Y$ , a well-known result of Fogarty says that the Hilbert scheme of  $n$  points on  $Y$ , denoted by  $Y^{[n]}$ , is a smooth  $2n$  dimensional manifold. The Betti numbers of  $Y^{[n]}$  were computed by Göttsche [G]. In particular, Göttsche's formula yields the dimension of the homology group of  $Y^{[n]}$ :

$$\sum_{n \geq 0} \dim H(Y^{[n]}) q^n = \prod_{r \geq 1} (1 - q^r)^{-\dim H(Y)}. \quad (30)$$

We apply Eq. (30) to the case  $Y = \widetilde{\mathbb{C}^2/G}$ . It follows from Eq. (29) that

$$\sum_{n \geq 0} \dim H(\widetilde{\mathbb{C}^2/G}^{[n]}) q^n = \prod_{r \geq 1} (1 - q^r)^{-|G_*|}.$$

Therefore we have proved the following.

**Proposition 5** *The spaces  $K_{G_n}(\mathbb{C}^{2n}) \otimes \mathbb{C}$ ,  $K_{S_n}(\widetilde{\mathbb{C}^2/G}^n) \otimes \mathbb{C}$  and  $H(\widetilde{\mathbb{C}^2/G}^{[n]})$  have the same dimension.*

Since the minimal resolution  $\widetilde{\mathbb{C}^2/G}$  of  $\mathbb{C}^2/G$  has no odd dimensional homology, we have (cf. [HH, N])

$$e(\widetilde{\mathbb{C}^2/G}) = \dim H(\widetilde{\mathbb{C}^2/G}) = e(\mathbb{C}^2, G) = |G_*|.$$

The following corollary is a special and important case of Corollary 3.

**Corollary 4** *Let  $G$  be a finite subgroup of  $SL_2(\mathbb{C})$  and  $\widetilde{\mathbb{C}^2/G}$  be the minimal resolution of  $\mathbb{C}^2/G$ . Then the Hilbert scheme of  $n$  points on  $\widetilde{\mathbb{C}^2/G}$  is a resolution of singularities of  $\mathbb{C}^{2n}/G_n$  whose Euler characteristic is equal to the orbifold Euler characteristic  $e(\mathbb{C}^{2n}, G_n)$ .*

**Remark 6** The fact that the (graded) dimension of  $K_{S_n}(X^n)$  equals that of the homology group of the Hilbert scheme of  $n$  points of  $X$  for a more general surface  $X$  holds by the same argument as above. Bezrukavnikov and Ginzburg [BG] have proposed a way to establish a direct isomorphism between these two spaces for an algebraic surface  $X$ . M. de Cataldo and L. Migliorini has recently established an isomorphism independently for any complex surface [CM].

Proposition 5 can be generalized as follows. Assume that  $X$  is a quasi-projective surface acted upon by  $G$  and there exists a smooth resolution of singularities  $\widetilde{X/G}$  of  $X/G$  such that the dimension of  $K_G^i(X)$  ( $i = 0, 1$ ) equals that of the even (resp. odd) dimensional homology group of  $\widetilde{X/G}$ . Then we conclude that the dimension of  $K_{G_n}(X^n)$  is equal to that of the homology group of the Hilbert scheme of  $n$  points on  $\widetilde{X/G}$ . We conjecture the existence of a natural isomorphism from  $K_{G_n}(X^n) \otimes \mathbb{C}$  to  $H(\widetilde{X/G}^{[n]})$ , assuming the (necessary) existence of an isomorphism from  $K_G(X) \otimes \mathbb{C}$  to  $H(\widetilde{X/G})$  or  $K(\widetilde{X/G}) \otimes \mathbb{C}$ .

We believe that this is just a first indication of intriguing relations between the Hilbert scheme of  $n$  points on  $\widetilde{X/G}$  and the wreath product  $G_n$ . We will elaborate on this in another occasion. When  $G$  is trivial, it reduces to well-known relations between the Hilbert scheme of  $n$  points and the symmetric group  $S_n$  (cf. [N, BG]).

**Remark 7** Let us consider a special case of Corollary 4 by putting  $G = \mathbb{Z}_2$ . The wreath product  $G_n$  in this case is exactly the Weyl group of  $B_n$  or  $C_n$ . It is interesting to compare with a “Hilbert scheme” associated to a reductive group of type  $B_n$  (or  $C_n$ ) defined in [BG].

**Acknowledgement.** The starting point of this work is the insight of Segal [S2]. I am grateful to him for his permission of using his unpublished results. I am also grateful to Igor Frenkel who first emphasized to me the importance of [S2] and to Mikio Furuta whose generous help is indispensable for me to understand [S2] for inspiring and fruitful discussions. I thank Mark de Cataldo for helpful conversations on Hilbert schemes. I also thank the referee for his comments and suggestions which help to improve the presentation of the paper. This paper is a modified version of my MPI preprint “Equivariant K-theory and wreath products” in August 1998. It is a pleasure to acknowledge the warm hospitality of the Max-Planck Institut für Mathematik at Bonn.

**Note 1 added.** The idea here of relating the representation rings of wreath products associated to finite groups of  $SL_2(\mathbb{C})$  and vertex representations of affine Lie algebras has been fully developed in a paper “Vertex representations via finite groups and the McKay correspondence” by I. Frenkel, N. Jing and the author.

**Note 2 added.** The connections among Hilbert schemes, wreath products and K-theory have been developed in my recent paper ”Hilbert schemes, wreath products, and the McKay correspondence”.

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Department of Mathematics, Yale University, New Haven, CT 06520, USA

E-mail: wqwang@math.yale.edu